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UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Ex parte ROBERT C. HOCHTRITT and ANDREW M. CONGER

Appeal 2007-3501 Application 10/660,659 Technology Center 3600

Decided: June 25, 2008

Before: WILLIAM F. PATE, III, JENNIFER D. BAHR and STEVEN D.A. McCARTHY, Administrative Patent Judges.

McCARTHY, Administrative Patent Judge.

DECISION ON APPEAL

I	STATEMENT OF THE CASE
2	The Appellants appeal under 35 U.S.C. § 134 (2002) from the final
3	rejection of claims 8, 10 and 11 under 35 U.S.C. \S 112, \P 2 as being
4	indefinite for failing to particularly point out and distinctly claim the subject
5	matter which the Appellants regard as the invention; and from the final

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- 1 rejections of claims 1-6, 8-11 and 13 under 35 U.S.C. § 103(a) (2002) as
- 2 being unpatentable over Petterson (U.S. Patent 5,100,020) in view of Swift
- 3 (U.S. Patent 1,151,064); of claim 7 under section 103(a) as being
- 4 unpatentable over Petterson in view of Swift and Sigl (U.S. Patent
- 5 4,638,921); of claim 12 under section 103(a) as being unpatentable over
- 6 Petterson in view of Swift and Spencer (U.S. Patent 6,830,151); of claim 14
- 7 under section 103(a) as being unpatentable over Petterson in view of Swift
- 8 and Margulies (U.S. Patent 4,526,291); and of claims 15 and 16 under
- 9 section 103(a) as being unpatentable over Petterson in view of Swift and
- Boone (U.S. Patent 6,892,898). Oral argument was held on June 11, 2008.
- We have jurisdiction under 35 U.S.C § 6(b) (2002).
- We REVERSE.
- Claim 1 is the only independent claim on appeal. It recites:

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A dispenser for holding and 1. dispensing folded absorbent sheet products, the dispenser comprising a body and a stand, the stand comprising a base for supporting the dispenser on a horizontal surface, the body comprising two sections, wherein a first upstream one of said sections is straight and extends over the majority of the length of said body, and wherein a second downstream one of said sections extends at an angle relative to said first section and communicates with a dispensing opening, and wherein, when said dispenser is supported by said stand on said horizontal surface, each of said first and second sections forms an oblique angle relative to said horizontal surface, said first section forming an angle that is more nearly vertical, and said second section forming an angle that is more nearly horizontal.

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2	A. The Rejection of Claims 8, 10 and 11 Under Section 112, \P 2
3	Claim 8 depends from claim 1 and recites "[t]he dispenser according
4	to claim 1, wherein said angle formed by said first section is in the range of
5	45-85°." The Examiner concludes that claim 8 is indefinite because, "if the
6	angle in this range is equal to 45 degrees, then the angle is not 'more nearly
7	vertical', as recited in claim 1." (Ans. 3). Claim 10 depends from claim 1
8	and recites "[t]he dispenser according to claim 1, wherein said angle formed
9	by said second section is in the range of 15-60°." The Examiner concludes
10	that claims 10 and 11 are indefinite because,
11 12 13 14 15 16 17 18 19 20	if the angle is equal to 45 degrees, then as recited in claim 1, the angle is not 'more nearly at a horizontal', because at 45 degrees the angle would be between a vertical and a horizontal position. Also, if the angle were more than 45 degrees, as recited in claim 10, then the angle of the second section would be more nearly vertical than a horizontal position, as recited in claim 1.
21	(Ans. 3-4).
22	A claim is subject to rejection under 35 U.S.C. § 112, ¶ 2 only if the
23	language of the claim, read in light of the specification and the teachings of
24	the prior art, is susceptible of no reasonable interpretation. See Datamize,
25	LLC v. Plumtree Software, Inc., 417 F.3d 1342, 1347 (Fed. Cir. 2005); In re
26	Moore, 439 F.2d 1232, 1235 (C.C.P.A. 1971). We agree with the
27	Appellants (App. Br. 4) that the clause "said first section forming an angle
28	that is more nearly vertical, and said second section forming an angle that is
29	more nearly horizontal" as used in claim 1 limits the angles of the first and

second sections relative to one another. "That is, the first section of the 1 2 dispenser body forms an angle that is more nearly vertical than the second section, and, correspondingly, the second section forms an angle that is more 3 4 nearly horizontal than the first section." (Id.) This interpretation is 5 supported by the present specification at page 7, line 14 through page 8, line 6 3. When the clause "said first section forming an angle that is more nearly 7 vertical, and said second section forming an angle that is more nearly horizontal" as used in claim 1 is interpreted in this manner, claims 8, 10 and 8 9 11 further limit claim 1 as required by 35 U.S.C. § 112, ¶ 4 (2002). On the record before us, the Appellants have shown that the Examiner 10 erred in rejecting claims 8, 10 and 11 under section 112, \P 2. 11 12 The Rejection of Claims 1-6, 8-11 and 13 Under Section 13 В. 14 103(a) as Being Unpatentable Over Petterson and Swift 15 The Appellants contend that the rejection of claims 1-6, 8-11 and 13 16 under section 103(a) is erroneous because the teachings of Petterson and Swift would not have motivated one of ordinary skill in the art to modify 17 18 Petterson's apparatus in a manner which would meet the limitations of claim 19 1. (App. Br. 6). While heeding the Supreme Court's instruction that "[t]he 20 obviousness analysis cannot be confined by a formalistic conception of the 21 words teaching, suggestion, and motivation," KSR Int'l Co. v. Teleflex, Inc., 127 S.Ct. 1727, 1741 (2007), we agree with the Appellants that the teachings 22 23 of Petterson and Swift do not establish a prima facie case for the obviousness of the subject matter of claim 1. 24 25 Petterson teaches an apparatus for dispensing paper napkins. 26 (Petterson, col. 2, Il. 13-16). The apparatus includes a housing defining an

- 1 interior for accommodating a stack of the napkins. (Petterson, col. 3, 11. 49-
- 2 53). The napkins as described by Petterson are thicker along the folded edge
- 3 than along the opposite edge due to the nature of the fold. (Petterson, col. 3,
- 4 ll. 34-37). The uneven thickness of the napkins causes stacks of such
- 5 napkins to bend with predetermined radii of curvature. (Petterson, col. 3, 11.
- 6 39-47). The back wall of the housing of Petterson's apparatus is smoothly
- 7 curved with a radius of curvature generally corresponding to the
- 8 predetermined curvature of a stack of the napkins. (Petterson, col. 3, 11. 54-
- 9 57). Petterson teaches that the curved housing is an improvement over a
- straight, vertical housing in that "a greater number of paper napkins can be
- stacked within a specified vertical distance than would be the case if the
- housing was essentially straight and perpendicular." (Petterson, col. 4, ll.
- 13 41-45).
- Swift teaches an improved cabinet including a sheet metal casing for
- dispensing paper drinking cups, each such cup consisting of a paper bag
- 16 closed at one end by an end flap. (Swift 1, ll. 10-14, 55-60 and 100-107).
- 17 The upper portion of the casing has straight, vertical sides enclosing a width
- less than the widths of the drinking cups. Hence, the drinking cups are
- 19 placed at an angle of 45° to the vertical sides of the casing. (Swift 1, 1l. 60-
- 20 69 and Figs. 1-3). The lower end of the casing through which the cups are
- 21 withdrawn is bent forwardly at an angle of 45° to the vertical section of the
- casing and has sides sufficiently spaced to accommodate the full widths of
- the drinking cups. (Swift 1, ll. 70-79).
- We see no articulable reasoning having rational underpinnings in the
- 25 teachings of Petterson and Swift which would support a conclusion that it
- would have been obvious to modify Petterson's housing to include a first

1	upstream section which is straight and a second downstream section
2	extending at an angle relative to the first section. Substitution of a straight
3	upstream section for the curved housing of Petterson's dispensing apparatus
4	would be contrary to Petterson's teaching that the curved housing is an
5	improvement over a straight, vertical housing such as that shown in Swift.
6	On the record before us, the Appellants have shown that the Examiner
7	erred in rejecting claims 1-6, 8-11 and 13 under section 103(a).
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9 10	C. The Rejection of Claim 7 Under Section 103(a) as Being Unpatentable Over Petterson, Swift and Sigl
11	As noted in the previous section of this opinion, we see no articulable
12	reasoning having rational underpinnings in the teachings of Petterson and
13	Swift which would support a conclusion that it would have been obvious to
14	modify Petterson's housing to include a first upstream section which is
15	straight and a second downstream section extending at an angle relative to
16	the first section. The Examiner has pointed to nothing in the teachings of
17	Sigl, alone or in combination with the teachings of Petterson and Swift,
18	which would supply this deficiency. On the record before us, the Appellants
19	have shown that the Examiner erred in rejecting claim 7 under section
20	103(a).
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22 23	D. The Rejection of Claim 12 Under Section 103(a) as Being Unpatentable Over Petterson, Swift and Spencer
24	The Examiner has pointed to nothing in the teachings of Spencer,
25	alone or in combination with the teachings of Petterson and Swift, which
26	would supply the deficiency in the teachings of Petterson and Swift. On the

1	record before us, the Appellants have shown that the Examiner erred in
2	rejecting claim 12 under section 103(a).
3	
4 5	E. The Rejection of Claim 14 Under Section 103(a) as Being Unpatentable Over Petterson, Swift and Margulies
6	The Examiner has pointed to nothing in the teachings of Margulies,
7	alone or in combination with the teachings of Petterson and Swift, which
8	would supply the deficiency in the teachings of Petterson and Swift. On the
9	record before us, the Appellants have shown that the Examiner erred in
10	rejecting claim 14 under section 103(a).
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13 14	F. The Rejection of Claims 15 and 16 Under Section 103(a) As Being Unpatentable Over Petterson, Swift and Boone
15	The Examiner has pointed to nothing in the teachings of Boone, alone
16	or in combination with the teachings of Petterson and Swift, which would
17	supply the deficiency in the teachings of Petterson and Swift. On the record
18	before us, the Appellants have shown that the Examiner erred in rejecting
19	claims 15 and 16 under section 103(a).
20	
21	G. Response to Dissent
22	We disagree with the position taken by the dissent on two grounds.
23	First, we disagree with the dissent's assertion that "it would have been
24	obvious to adapt the dispenser of Swift for horizontal rather than vertical
25	support." Swift's cabinet is designed to provide particular advantages such
26	as a compact profile (Swift 2, ll. 50-68) when mounted with the upper,
27	vertical section of the cabinet resting against the wall. Nothing in the

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1	teachings of Swift or Petterson suggests that adapting Swift's cabinet for
2	horizontal rather than vertical support would be an improvement of the
3	cabinet as the dissent contends. We see no articulable reasoning having
4	rational underpinnings in the teachings of Petterson and Swift which would
5	support a conclusion that it would have been obvious to modify Swift's
6	cabinet as described in the dissent.
7	Second, even if we agreed with the dissent's reasoning, that reasoning
8	would not provide us with a basis for affirming the Examiner's rejection.
9	The Examiner concludes that it would have been obvious to modify
10	Petterson's apparatus in view of the teachings of Swift to incorporate two
11	sections angled relative to one another. (Ans. 5). If we agreed with the
12	reasoning presented by the dissent, we would conclude that the reasoning
13	presented by the dissent differs so significantly from the reasoning presented
14	by the Examiner in support of the rejections of claims 1-16 that the dissent's
15	reasoning would constitute a new ground of rejection.
16	
17	DECISION
18	We REVERSE the rejections of claims 1-16.
19	
20	REVERSED

1 Pate, III, Administrative Patent Judge, dissenting-in-part 2 3 I respectfully dissent-in-part. I agree with my colleagues that the 4 rejection under § 112 cannot be sustained. On the other hand, I would 5 sustain the rejections of the claims rejected under § 103. Swift discloses all the features of Appellants' invention save for the 6 7 fact that: 1) it does not dispense absorbent products (it dispenses rectangular 8 cups of the same shape as Appellants' disclosed napkins); and, 2) it does not 9 have a stand that is configured to support the dispenser on a horizontal 10 surface and angle the dispensing opening 13 somewhat more forwardly and 11 upwardly as the Examiner suggests for more convenient access. Swift was 12 designed to be fastened on a vertical surface such as a wall beside a water cooler or the like. As such, the first section must be configured to be vertical 13 14 to attach to the wall. Petterson discloses a dispenser that dispenses folded absorbent 15 16 products. Petterson further discloses a stand for supporting the dispenser on 17 a horizontal surface. The Petterson device is configured as a curve, since the 18 dispensed napkins are folded to have one portion thereof thicker than 19 another portion. The curve also results in the dispenser opening being somewhat forwardly and more vertically presented within the confines of 20 21 still allowing gravity to feed the napkins. 22 In my view, it would have been obvious to adapt the dispenser of 23 Swift for horizontal rather than vertical support. This is merely applying a 24 known technique to a known device, ready for improvement, with predictable results. See KSR at 1740. Additionally, it further would have 25 been obvious, when the first section of Swift is freed from the constraint of 26

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1 vertical mounting, to angle the device obtusely with the horizontal as the Examiner has stated "to provide for a more user friendly way for one to 2 3 reach the opening of the dispenser." I am of the view that this teaching of 4 making the opening as nearly vertically extending as possible within the 5 confines of gravity feed is an implicit recognition in the art which is a 6 known technique applicable to all devices dispensing flat paper products. When applied to the similar Swift dispenser this modification would have 7 8 achieved predictable results. 9 Unlike the majority, I do not consider the order in which prior art is applied in a rejection to be significant. See, for example, In re Bush, 296 10 11 F.2d 491, 496 (CCPA 1961.) ("[i]n a case of this type where a rejection is 12 predicated on two references each containing pertinent disclosure which has 13 been pointed out to the applicant, we deem it to be a matter of no 14 significance, but merely a matter of exposition, that the rejection is stated to be on A in view of B instead of B in view of A, or to term one reference 15 16 primary and the other secondary."); In re Cook, 372 F.2d 563 (CCPA 1967). 17 18 19 20 21 22 23 LV: YOUNG & THOMPSON 24 25 209 Madison Street Suite 500 26 27 ALEXANDRIA, VA 22314